| | | ::ODMA\MHODMA\iManage;141653;1 | _ = = = = = = = = = = = = = = = = = = = | | | | Sheet 1 | |
|-----------------------------------|--|--|---|-----------------------------|----------|---------------|-------------------------|-------|
| Pro-1449 REPRODUCED | | | ATTORNEY DOCKET NO. 2825.1014-001 | APPLICAT | | | | |
| PE | E INFORMATION DISCLOSURE CITATION IN AN APPLICATION | | | APPLICANT Pablo Tamayo | | | | |
| | and o | July 19, 2000 | | FILING DATE | GROUP | | | |
| (Use deveral sheets if necessary) | | | 3/14/00 | 1635 | | | | |
| EXAMP | _118 | , | v.s. | PATENT DOCUMENTS | | · | | |
| EXAM INER INI- TIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING IF APPROPE | |
| 825 | AA | 5,040,133 | 08/13/91 | Feintuch et al. | 364 | 581 | | |
| B | - AB | 5,179,643 | 01/12/93 | Homma et al. | 395 | 140 | | |
| B | -AC | 5,631,734 | 05/20/97 | Stern et al. | 356 | 317 | | |
| A | AD | 5,734,796 | 03/31/98 | Pao | 395 | 22 | | |
| 9 | AE. | 5,770,722 | 06/23/98 | Lockhart et al. | 536 | 25.3 | | |
| 7 | AF | 5,819,245 | 10/06/98 | Peterson et al. | 706 | 16 | | |
| 2 | AG | 5,832,182 | 11/03/98 | Zhang et al. | 395 | 10 | | |
| R | АН | 5,871,697 | 02/16/99 | Rothberg et al. | 422 | 68.1 | | |
| 4 | AI | 5,925,525 | 07/20/99 | Fodor et al. | 435 | 6 | | |
| | AJ | | | | | | | |
| | AK | | | | | | | |
| | | | FOREIG | ON PATENT DOCUMENTS | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLA YES | NO NO |
| | AL | | | | | | | |
| | AM | | | | | | | |
| | AN | | | | | | , | |
| | AO | | | | <u> </u> | | | |
| | AP | | | | | | | |
| | AQ | | | | | | | |
| | | OTHER DOCUMENTS | (Including Au | thor, Title, Date, Pertinen | t Pages, | Etc.) | | |
| 87 | Kohonen, T., Self-Organizing Maps, 2nd Edition, T.S. Huang et al., eds. (NY: Springer-Verlag, 1997). | | | | | | | |
| R | AS | Loos, H.S. and B. Fritzke, "DemoGNG (Version 1.5), http://www.neuroinformatik.ruhr-uni-bochum.de/ini/VDM/research/gns/DemoGNG/GNG.html (downloaded 1/29/99). | | | | | | |
| R | AT | Chu, S. et al., "The Transcriptional Program of Sporulation in Budding Yeast," Science, 282, 10/23/98 (pp. 699-705). | | | | | | |
| EXAMIN | NER | 00 1/ | | DATE CONSIDERED | | | | |

| | | | Sheet 2 of 4 | | |
|----------------------------------|--|---|----------------------------|--|--|
| PPO-1449 RE | | ATTORNEY DOCKET NO. 2825.1014-001 | APPLICATION NO. 09/525,142 | | |
| PE VE | CORMATION DISCLOSURE CITATION IN AN APPLICATION | APPLICANT Pablo Tamayo et al. | | | |
| IN S. S. MIN | July 19, 2000 | FILING DATE | GROUP | | |
| De Ca | se Several sheets if necessary) | 3/14/00 | 1635 | | |
| TO THE PARTY OF | OTHER DOCUMENTS (Including Au | | | | |
| 87 AU | | "GeneChip Probe Array Synthesis," http://www.affymetrix.com/technology/synthesis.html (downloaded 10/15/98). | | | |
| Q AV | • | "Affymetrix Launches New Genome Scanning Genechip® Expression Products," http://www.affymetrix.com/press/pr980918.html (downloaded 10/14/98). | | | |
| AW AW | Stipp, D., "Gene Chip Breakthrough," (3/31/97), http://www.pathfinder. com@@JC11CgcA1QLIOjS3/fortune/1997/970331/bio.html (downloaded 10/15/98). | | | | |
| AX AX | Zheng, P. et al., "Proto-oncogene PML controls genes devoted to MHC class I antigen presentation," Nature 396:373-376, (11/26/98). | | | | |
| AY | Lockhart, D.J. et al., "Expression monitoring by hybridization to high-density oligonucleotide arrays," <i>Nature Biotechnology</i> , 14:1675-1680, (December 1998). | | | | |
| AZ | DeRisi, J.L. et al., "Exploring the Metabolic and Genetic Control of Gene Expression on a Genomic Scale," Science, 278:680-686, (October 1997). | | | | |
| AR AR | Lashkari, D.A. et al., "Yeast microarrays for genome wide parallel genetic and gene expression analysis," <i>Proc. Natl. Acad. Sci. USA</i> , 94:13057-13062 (November 1997). | | | | |
| AS | Miyata, Y. et al., "Phosphorylation of the immunosuppressant FK506-binding protein FKBP52 by casein kinase II: Regulation of HSP90-binding activity of FKBP52," Proc. Natl. Acad. Sci. USA, 94:14500-14505, (December 1997). | | | | |
| AT AT | Kok, K. et al., "A gene in the chromosomal region 3p21 with greatly reduced expression in lung cancer is similar to the gene for ubiquitinactivating enzyme," <i>Proc. Natl. Acad. Sci. USA</i> , 90:6071-6075 (July 1993). | | | | |
| AU | Wodicka, L. et al., "Genome-wide expression monitoring in Saccoarmyces cerevisiae," Nature Biotechnology, 15:1359-1367 (December 1997). | | | | |
| 87 AV | Jin, Y. et al., "Molecular cloning of a 25-kD high affinity rapamycin binding protein, FKBP25, J.Bio.Chem., 267:10942-10945, (June 5, 1992). | | | | |
| 17 AW | Cho, R. et al., "A genome-wide transcriptional analysis of the mitotic cell cycle," <i>Molecular Cell</i> , 2:65-73 (July 1998). | | | | |
| EZ AX | de Thé, H. et al., "The PML-RARQ Fusion mRNA Generated by the t(15;17) Translocation in Acute Promyelocytic Leukemia Encodes a Functionally Altered RAR, Cell, 66:675-684 (August 1991). | | | | |
| Q AY | Hartigan, J., "Clustering" in <i>Clustering Algorithms</i> , (NY:J. Wiley, 1975) pp. 1-27, 155-176. | | | | |
| EXAMINER DATE CONSIDERED 5/2/3/ | | | | | |
| | | | | | |

| | | | Sheet 3 of 4 | |
|--------------------------|--|--------------------------------------|----------------------------|--|
| PPO-1449 REPROD | UCED | ATTORNEY DOCKET NO. 2825.1014-001 | APPLICATION NO. 09/525,142 | |
| PE JCZ | MATION DISCLOSURE CITATION IN AN APPLICATION | APPLICANT Pablo Tamayo et al. | · | |
| n la (Use : | July 24, 2000 | FILING DATE 3/14/00 | GROUP 1635 | |
| | OTHER DOCUMENTS (Including Au | thor, Title, Date, Pertinent | : Pages, Etc.) | |
| 188 | Kakizuka, A. et al., "Chromosomal translocation t(15;17) in human acute promyelocytic leukemia fuses RARQ with a novel putative transcription factor, PML," Cell, 66:663-674 (August 1991). | | | |
| 186 | Höhfeld, J. et al., "Hip, a Novel Cochaperone Involved in the Eukaryotic Hsc70/Hsp40 Reaction Cycle," Cell, 83:589-598 (November 1995). | | | |
| 4 | Yoshida, H. et al., "Accelerated Degradation of PML-Retinoic Acid Receptor α (PML-RARA) oncoprotein by All-trans-Retinoic Acid in Acute Promyelocytic Leukemia: Possible Role of Proteasome Pathway," Cancer Res., 56:2945-2948 (July 1996). | | | |
| [-[] | Höhfeld, J. and S. Jentsch, "GrpE-like regulation of the hsc70 chaperone by the anti-apoptotic protein BAG-1, EMBO Journal, 16(20):6209-6216 (1997). | | | |
| 7 | Russell, L. and D. Forsdyke, "A Human Putative Lymphocyte G_0/G_1 Switch Gene Containing a CpG-rich Island Encodes a Small Basic Protein with the Potential to Be Phosphorylated," DNA AND CELL BIOL., $10(8):581-591$, (1991). | | | |
| 9 | Oliva, M. et al., "Promoter regulation of a differentially expressed gene in the human colonic epithelial cell lines $HT29-18$ and $HT29-18-C_1$, Gene $159(1):151-157$ (1995). | | | |
| | Beck, S. et al., "DNA Sequence Analysis of 66 kb of the Human MHC Class II Region Encoding a Cluster of Genes for Antigen Processing," <i>J. Mol. Biol. 228:</i> 433-441 (November 1992). | | | |
| | Iyer, V.R. et al., "The Transcriptional Program in the Response of Human Fibroblasts to Serum," <i>Science</i> , 283:83-87 (January 1999). | | | |
| 9 | Spellman, P.T. et al., "Comprehensive Identification of Cell Cycle-regulated Genes of the Yeast Saccharomyces cerevisiae by Microarray Hybridization," Molecular Biology of the Cell, 9:3273-3297, (December 1998). | | | |
| | Pennisi, E., "DNA Chips Give New View of Classic Test," Science, 283:17-18, January 1999. | | | |
| 18-61 | Jobson, J.D., "Cluster Analysis" in Applied Multivariate Data Analysis, Volume II: Categorical and Multivariate Methods, (NY:Springer-Verlag) pp. 518-568 (1992). | | | |
| | Gordon, A.D., in <i>Classification - Methods for the Exploratory Analysis</i> of Multivariate Data, (NY:Chapman and Hall) pp. 1-53. | | | |
| EXAMINER DATE CONSIDERED | | | | |

| PTO-1449 REPRODUCED | | UCED | ATTORNEY DOCKET NO. 2825.1014-001 | APPLICATION NO. 09/525,142 | | |
|---------------------|---|---|--------------------------------------|----------------------------|--|--|
| χĒ | INFORMATION DISCLOSURE CITATION IN AN APPLICATION | | APPLICANT Pablo Tamayo et al. | | | |
| biv E | , Kes | July 19, 2000 seeeral sheets if necessary) | FILING DATE 3/14/00 | GROUP 1635 | | |
| 30L 2 | 8 0- | OTHER DOCUMENTS (Including Au | thor, Title, Date, Pertinent | Pages, Etc.) | | |
| The same | BADE | Bamdad, C., "Surface Plasmo Interest" in <i>Current Protoc</i> Sons, Inc.) pp. 20.4.1-20.4 | cols in Molecular Biolo | | | |
| R | AU4 | Eisen, M.B. et al., "Cluster analysis and display of genome-wide expression patterns," <i>Proc. Natl. Acad. Sci. USA, 95:14863-14868</i> (December 1998). | | | | |
| B | AV4 | Jain, A.K. and R.C. Dubes, <i>Algorithms for Clustering Data</i> , (Prentice-Hall), pp. 1-27, 118-142, 262-274 (1988). | | | | |
| A | AW4 | Kaski, S. et al., "Bibliography of Self-Organizing Map (SOM) Papers: 1981-1997," http://www.icsi.berkeley.edu/~jagota/NCS/VOL1/P4_html/vol1_4.html (downloaded 3/5/99). | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | - | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| EXAMI | NER | 00 10 | DATE CONSIDERED | | | |
| | _ | 1 mills | (12/3/ | | | |